

**TRANSMITTAL  
FORM**



Application Serial Number	09/578,779
Filing Date	May 25, 2000
First Named Inventor	Hayton
Group Art Unit	2786
Examiner Name	Not yet assigned
Attorney Docket No.	CTX-049 (1545/83)
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

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**ENCLOSURES (check all that apply)**

- |                                                                                                  |                                                                                                              |                                                                                            |
|--------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form                                                    | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)                      | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences     |
| <input type="checkbox"/> Check Attached                                                          | <input type="checkbox"/> Formal Drawing(s)                                                                   | <input type="checkbox"/> Appeal Brief (in triplicate)                                      |
| <input type="checkbox"/> Copy of Fee Transmittal Form                                            | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal                                 | <input type="checkbox"/> Status Inquiry                                                    |
| <input type="checkbox"/> Amendment/Response                                                      | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)                                      | <input checked="" type="checkbox"/> Return Receipt Postcard                                |
| <input type="checkbox"/> Preliminary                                                             | <input type="checkbox"/> Terminal Disclaimer                                                                 | <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |
| <input type="checkbox"/> After Final                                                             | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application | <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8         |
| <input type="checkbox"/> Affidavits/declaration(s)                                               | <input type="checkbox"/> Small Entity Statement                                                              | <input type="checkbox"/> Additional Enclosure(s)<br><i>(please identify below)</i>         |
| <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> CD(s) for large table or computer program                                           |                                                                                            |
| <input type="checkbox"/> Petition for Extension of Time                                          | <input type="checkbox"/> Amendment After Allowance                                                           |                                                                                            |
| <input checked="" type="checkbox"/> Information Disclosure Statement                             | <input type="checkbox"/> Request for Certificate of Correction                                               |                                                                                            |
| <input checked="" type="checkbox"/> Form PTO-1449                                                | <input type="checkbox"/> Certificate of Correction (in duplicate)                                            |                                                                                            |
| <input checked="" type="checkbox"/> Copies of IDS Citations                                      |                                                                                                              |                                                                                            |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                                  |                                                                                                              |                                                                                            |
| <input type="checkbox"/> Sequence Listing submission                                             |                                                                                                              |                                                                                            |
| <input type="checkbox"/> Paper Copy/CD                                                           |                                                                                                              |                                                                                            |
| <input type="checkbox"/> Computer Readable Copy                                                  |                                                                                                              |                                                                                            |
| <input type="checkbox"/> Statement verifying identity of above                                   |                                                                                                              |                                                                                            |

**CORRESPONDENCE ADDRESS**

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 Boston, MA 02110  
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 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Date: February 7, 2001  
 Reg. No. 42,898  
 Tel. No.: (617) 248-7954  
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Respectfully submitted,  
 David G. Miranda  
 Agent for Applicant(s)  
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 High Street Tower  
 125 High Street  
 Boston, MA 02110



PATENT  
Attorney Docket No. CTX-049

212105  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Hayton et al.  
SERIAL NO.: 09/578,779 GROUP NO.: 2786  
FILING DATE: May 25, 2000 EXAMINER: Not yet assigned  
TITLE: Activity Monitor and Resource Manager in a Network Environment

Assistant Commissioner for Patents  
Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

### REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- the requisite Statement is below, **OR**
- the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

Information Disclosure Statement

Serial No.: 09/578,779

Page 2 of 2

- (3) after the mailing date of a **final action or notice of allowance** but before the payment of the **issue fee**, **AND**
- the requisite Statement is below, **AND**
- the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

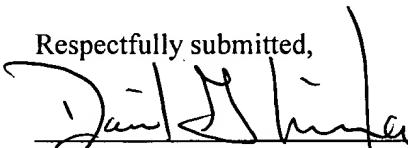
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

**STATEMENT**

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
\_\_\_\_\_  
David G. Miranda

Agent for Applicant(s)  
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High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: February 7, 2001  
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PATENT  
Attorney Docket No. CTX-049 (1545/83)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Hayton et al.

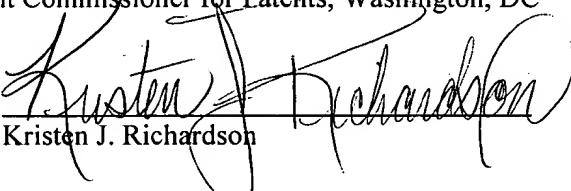
SERIAL NO.: 09/578,779 GROUP NO.: 2786

FILING DATE: May 25, 2000 EXAMINER: Not yet assigned

TITLE: ACTIVITY MONITOR AND RESOURCE MANAGER IN A  
NETWORK ENVIRONMENT

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 7 day of February, 2001.

  
Kristen J. Richardson

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page);
2. Information Disclosure Statement (2 pages);
3. Form PTO-1449 (2 pages);
4. Copies of IDS Citations;
5. Return Receipt Postcard